



**North Yorkshire
County Council**

Agenda

Meeting: Audit Committee

**Venue: Brierley Room, County Hall,
Northallerton, DL7 8AD**

Date: Thursday 22 June 2017 at 1.30 pm

**Note: Members are invited to attend a
seminar concerning 2020 Modern
Council at 1.00 pm in the Brierley
Room.**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

Business

- 1. Election of Chairman.**
- 2. Minutes of the meeting held on 2 March 2017.**
- 3. Election of Vice-Chairman.**

(Pages 5 to 16)

Enquiries relating to this agenda please contact Ruth Gladstone **Tel: 01609 532555** or e-mail ruth.gladstone@northyorks.gov.uk
www.northyorks.gov.uk

4. Any Declarations of Interest.

5. Public Questions or Statements.

Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Ruth Gladstone of Democratic Services (*contact details at the foot of page 1*) by midday on Monday 19 June 2017. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

- 6. Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).
(Pages 17 to 20)
- 7. North Yorkshire County Council and North Yorkshire Pension Fund – Audit of Accounts 2016/17 – Audit Progress** – Letter from KPMG (External Audit).
(Pages 21 to 22)
- 8. Statement of Final Accounts 2016/17 – North Yorkshire Pension Fund** – Report of the Corporate Director – Strategic Resources.
(Page 23)
- 9. Draft Statement of Accounts 2016/17 – North Yorkshire County Council** - Report of the Corporate Director – Strategic Resources.
(Pages 24 to 36)
(Draft Statement of Accounts 2016/17 circulated as a separate document)
- 10. Corporate Governance** - Report of the Corporate Director – Strategic Resources.
(Pages 37 to 64)
- 11. Annual Report of the Head of Internal Audit**
(Pages 65 to 97)
- 12. Internal Audit Plan for 2017/18** - Report of the Head of Internal Audit.
(Pages 98 to 116)
- 13. Review of the Effectiveness of the Audit Committee** - Report of the Head of Internal Audit.
(Pages 117 to 119)
- 14. Annual Report on Partnership Governance 2016/17** - Report of the Assistant Director (Policy and Partnerships).
(Pages 120 to 135)

15. **Business Continuity – Update Report** - Report of the Resilience and Emergencies Manager.
(Pages 136 to 138)
16. **Corporate Procurement Strategy Update** - Report of the Corporate Director – Strategic Resources.
(Pages 139 to 148)
17. **Risk Management – Progress Report** - Report of the Corporate Director – Strategic Resources.
(Pages 149 to 171)
18. **Audit Committee Work Programme** – Report of the Corporate Director – Strategic Resources.
(Pages 172 to 175)
19. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

Notes:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance.

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)					
	<i>Councillors' Names</i>			<i>Political Group</i>	
1	ARTHUR, Karl			Conservative	
2	ATKINSON, Margaret			Conservative	
3	BAKER, Robert			Conservative	
4	COOPER, Richard			Conservative	
5	HUGILL, David			Conservative	
6	LUNN, Cliff			Conservative	
7	MACKAY, Don			NY Independents	
8	WEBBER, Geoff			Liberal Democrat	
Members other than County Councillors (Non-voting) (3)					
1	PORTLOCK, David				
2	MARSH, David				
3	Vacancy				
Total Membership – (11)					
				Quorum – (3) County Councillors	
Con	Lib Dem	NY Ind	Labour	Ind	Total
6	1	1	0	0	8

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors' Names</i>		<i>Councillors' Names</i>
1	BACKHOUSE, Andrew	1	
2	CLARK, Jim	2	
3		3	
4		4	
5		5	
NY Independents			
	<i>Councillors' Names</i>		
1			
2			
3			
4			
5			